



RECEIPT

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**COPY OF PAPERS  
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April 12, 2002

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

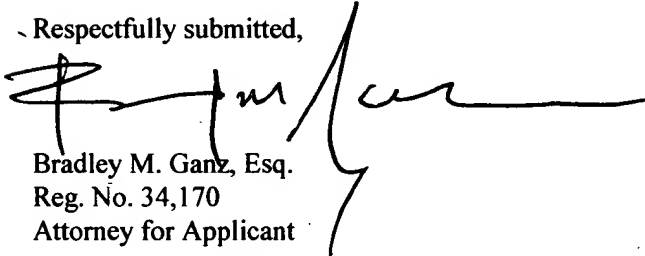
Re: U.S. Utility Patent Application Serial No. 09/933,861 in the name of Rajesh Bhatia, et al.  
for SYSTEMS AND METHODS FOR CONTEXT PERSONALIZED WEB BROWSING BASED  
ON A BROWSER COMPANION AGENT AND ASSOCIATED SERVICES;  
Our File: BIZ/01-0008

Sir:

Enclosed for filing are the following documents relating to the above-referenced utility patent application:

- Second Request for Correction of Filing Receipt;
- Copy of Updated Filing Receipt dated March 26, 2002;
- Response to Request for Corrected Filing Receipt dated March 26, 2002;
- Original Filing Receipt dated September 24, 2001;
- Filing Receipt of Provisional Application Serial No. 60/227,558 dated November 27, 2000;
- Copy of page 1 of specification of Application Serial No. 09/933,861;
- Self-addressed stamped return postcard; and
- Authorization to charge Deposit Account No. 50-1001.

Respectfully submitted,



Bradley M. Ganz, Esq.  
Reg. No. 34,170  
Attorney for Applicant

BMG:mda  
Enclosures

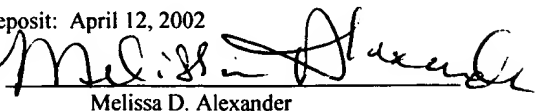
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CERTIFICATE OF MAILING

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Date of Deposit: April 12, 2002

Signature:



Melissa D. Alexander

*A Professional Corporation*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Rajesh Bhatia, et al.

Serial No.: 09/933,861

Filed: August 20, 2001

For: SYSTEMS AND METHODS FOR CONTEXT  
PERSONALIZED WEB BROWSING BASED  
ON A BROWSER COMPANION AGENT AND  
ASSOCIATED SERVICES

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April 12, 2002

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Group Art Unit : 2173

Examiner: Unknown

Attorney Docket No.: BIZ/01-0008

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Date of Deposit: April 12, 2002

Signature:

Melissa D. Alexander

**SECOND REQUEST FOR CORRECTION OF FILING RECEIPT**

Enclosed for correction is a copy of the original filing receipt for the above-identified patent application. A Request for Correction of Filing Receipt was filed January 22, 2002, because applicant noted that the Domestic Priority data as claimed by applicant was not included on the filing receipt. Applicant claimed the benefit of co-pending US Provisional Application No. 60/227,558, entitled "Browser Companion Architecture", filed on August 23, 2000 in Paragraph 1 of the Application.

We received an updated filing receipt and Response to Request for Corrected Filing Receipt (copies enclosed) denying entry of the priority claim because it was not filed during the required time period as required by 37 CFR 1.55 or 1.78. Applicant notes that 37 CFR 1.55 and 1.78 apply to claims for priority from foreign or PCT patent applications. Applicant is not claiming priority from a foreign or PCT patent application. Therefore, sections 1.55 and 1.78 of the CFR do not apply.

Applicant claims Domestic Priority from U.S. Provisional Application No. 60/227,558, filed August 23, 2000. A copy of the filing receipt of the provisional application is enclosed.

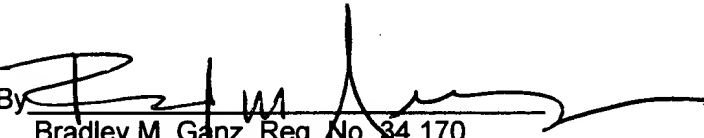
Attention is directed to 35 U.S.C 119(e) which sets forth that the requirements for claiming priority in a nonprovisional application from a provisional application are that the nonprovisional application be filed not later than 12 months from the filing date of the provisional application and must contain a specific reference to the provisional application. The August 20, 2001, filing date of the nonprovisional application is within the 12 month period from the August 23, 2000, filing date of the provisional application. Additionally, specific reference to the provisional application was made on page 1 of the nonprovisional application (copy enclosed).

Please correct this error by inserting the priority date of August 23, 2000 in Applicant's record, and provide me with an amended filing receipt for my file.

If any fees related to this application are due, please charge any such fees, or credit any overpayment, to Deposit Account No. 50-1001.

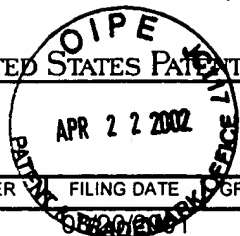
Dated: April 12, 2002

Respectfully submitted,

By   
Bradley M. Ganz, Reg. No. 34,170  
Attorney for Applicant(s)  
P.O. Box 10105  
Portland, Oregon 97296  
Telephone No.: (503) 224-2713  
Facsimile No.: (503) 296-2172



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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/933,861	09/20/2001	2173	757	BIZ/01-0008	14	53	4

022874  
BRADLEY M GANZ, PC  
P O BOX 10105  
PORTLAND, OR 97296

APR 02 2002

CONFIRMATION NO. 7426

UPDATED FILING RECEIPT



\*OC000000007716704\*

Date Mailed: 03/26/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Rajesh Bhatia, Mountain View, CA;  
Nikhil Ganju, Mountain View, CA;  
Anil Kamath, Palo Alto, CA;  
Prashant Kulkarni, Sunnyvale, CA;  
Phoumrin Tan, San Jose, CA;

**Domestic Priority data as claimed by applicant**

**Foreign Applications**

**If Required, Foreign Filing License Granted 09/24/2001**

**Projected Publication Date: 02/20/2003**

**Non-Publication Request: No**

**Early Publication Request: No**

**\*\* SMALL ENTITY \*\***

**Title**

Systems and methods for context personalized web browsing based on a browser companion agent and associated services

**Preliminary Class**

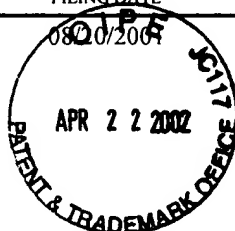
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APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/933,861	08/20/2001	Rajesh Bhatia	BIZ/01-0008

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CONFIRMATION NO. 7426



\*OC000000007716761\*

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Date Mailed: 03/26/2002

## RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

## Domestic Continuity and Foreign Priority

In response to your request for a corrected Filing Receipt, the Office is unable to comply with the request because:

- ☒ The priority or continuity claim has not been entered because it was not filed during the required time period. Applicant may wish to consider filing a petition to accept an unintentionally delayed claim for priority. See 37 CFR 1.55 or 1.78.
- ☐ Continuity claimed under 35 USC 120 cannot be added to the Filing Receipt without the applicant supplying the relationship (continuation, divisional, or continuation-in-part) in an Application Data Sheet or amendment to the first page of the specification.
- ☐ A claim for priority cannot be made based on an application filed after the application making the claim.
- ☐ Domestic and foreign priority claims will not be captured in a provisional application. A provisional application is not entitled to a right of priority or to the benefit of an earlier filing date of any other application. See 35 USC 111(b)(7) and 37 CFR 1.53(c)(4).
- ☐ A domestic continuity claim cannot be made to a foreign application and the filing receipt will only list the foreign country, application number, and filing date.
- ☐ Foreign priority will appear on the Filing Receipt in the following order:  
**Country, Application number, Filing date.**

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APR 22 2002

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APPLICATION NUMBER	FILING DATE	GRP ART. UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/933,861	08/20/2001	173	692	BIZ/01-0008	14	53	4

CONFIRMATION NO. 7426

 022874  
 BRADLEY M GANZ, PC  
 P O BOX 10105  
 PORTLAND, OR 97296

## FILING RECEIPT



\*OC00000006779798\*

Date Mailed: 09/24/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

 Rajesh Bhatia, Residence Not Provided;  
 Nick Ganju, Residence Not Provided;  
 Anil Kamath, Residence Not Provided;  
 Prashant Kulkarni, Residence Not Provided;  
 Phoumrin Tan, Residence Not Provided;

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Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 09/24/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

 Systems and methods for context personalized web browsing based on a browser companion  
 agent and associated services

Preliminary Class



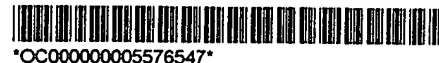
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APPLICATION NUMBER	FILING DATE	GRP ART UNITS	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
60/227,558 ✓	08/23/2000		75 ✓	BIZ/00-0015 ✓	10 ✓		

022874  
BRADLEY M GANZ, PC  
P O BOX 10105  
PORTLAND, OR 97296

FILING RECEIPT



\*OC000000005576547\*

Date Mailed: 11/27/2000

Receipt is acknowledged of this provisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Rajesh Bhatia, Mountain View, CA ✓  
Nick Ganju, Mountain View, CA ; ✓  
Anil Kamath, Palo Alto, CA ; ✓  
Prashant Kulkarni, Sunnyvale, CA ; ✓  
Phoumrin Tan, San Jose, CA ; ✓

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Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 11/25/2000 ✓

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Title

Browser companion architecture ✓

Preliminary Class

Data entry by : JONES, KIMBERLY

Team : OIPE

Date: 11/27/2000





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5        **SYSTEMS AND METHODS FOR CONTEXT PERSONALIZED WEB  
BROWSING BASED ON A BROWSER COMPANION AGENT AND  
ASSOCIATED SERVICES**

10        **Inventor: Rajesh Bhatia, Nick Ganju, Anil Kamath, Prashant Kulkarni,  
Phoumrin Tan**

Assigned to: BizRate.com

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**MAY 07 2002**

15        **BACKGROUND OF THE INVENTION**

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1. Field of the Invention

20        This invention claims the benefit of co-pending US Provisional Application  
No. 60/227,558, entitled "Browser Companion Architecture", filed August 23,  
2000, the entire disclosure of which is hereby incorporated by reference as if set  
forth in its entirety for all purposes. This invention relates to accessing data over  
computer networks, and more particularly, to accessing information on the  
Internet, or World Wide Web (Web) with a personalized browser system. In one  
25        embodiment, the information relates to enhancing ecommerce functionality.

2. Background Art

30        Access to information on the Web is typically accomplished by invoking a  
program known as a Web browser. The browser acts as an interface between  
the user and the Web, accepting input from the user and obtaining data and  
services by sending requests to servers or other computers at other locations on  
the Web, and retrieving and displaying documents or pages from the Web. Fig. 1  
illustrates this scheme. Primary examples of commercially available browsers  
include Microsoft Internet Explorer and Netscape Communicator. Many  
traditional services and business transactions are now carried out over the Web





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Bib Data Sheet

CONFIRMATION NO. 7426

<b>SERIAL NUMBER</b> 09/933,861	<b>FILING DATE</b> 08/20/2001 <b>RULE</b>	<b>CLASS</b> 345	<b>GROUP ART UNIT</b> 2173	<b>ATTORNEY DOCKET NO.</b> BIZ/01-0008
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## APPLICANTS

Rajesh Bhatia, Mountain View, CA;  
Nikhil Ganju, Mountain View, CA;  
Anil Kamath, Palo Alto, CA;  
Prashant Kulkarni, Sunnyvale, CA;  
Phoumrin Tan, San Jose, CA;

## \*\* CONTINUING DATA \*\*\*\*\*

THIS APPLN CLAIMS BENEFIT OF 60/227,558 08/23/2000

## \*\* FOREIGN APPLICATIONS \*\*\*\*\*

IF REQUIRED, FOREIGN FILING LICENSE GRANTED \*\* SMALL ENTITY \*\*

\*\* 09/24/2001

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	<b>STATE OR COUNTRY</b> CA	<b>SHEETS DRAWING</b> 14	<b>TOTAL CLAIMS</b> 53	<b>INDEPENDENT CLAIMS</b> 4
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature	Initials		

## ADDRESS

022874

## TITLE

Systems and methods for context personalized web browsing based on a browser companion agent and associated services

<b>FILING FEE RECEIVED</b> 757	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees ( Filing )
		<input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )
		<input type="checkbox"/> 1.18 Fees ( Issue )
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit